



# retirement plan **advisors**

## Our Definitions

**Governance:** The establishment of policies continuously monitored for their proper implementation, commensurate with prudent fiduciary process, regulatory requirements and the avoidance of conflicts of interest.

**Plan Design:** The structuring of allowable variations under ERISA rules that directly supports the viability of the company and positively engages participants.

**Investment Management:** The selection of an investment menu/portfolio, diversified by asset class and style, continuously monitored for compliance with a plan's Investment Policy Statement.

**Education:** The program of events designed to engage participants in the process of retirement planning, and measured for effectiveness in accordance with a plan's Education Policy Statement.

**Systems:** The establishment of "right sized" and cooperative custodial, third party administration, recordkeeping and fiduciary services to the plan and the monitoring of their individual and collective "value" to the plan's objectives.

**Communications:** The process through which and by which the plan's component parts report, observe, comment and conduct themselves in support of the plan's objectives.